PTO/SB/21 (08-03)

Approved for Appro

RESERVE TO THE RESERV			Application Number	10/040,293			
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing Date	October 29, 2001			
			First Named Inventor	Eduard K. de Jong			
			Art Unit	2151			
			Examiner Name	Unassigned			
Total Number of Pages	in This Submission		Attorney Docket Number	SUN-P7015			
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		ENCLO	SURES (check all that apply)	After Allewanes Communication to			
Fee Transmittal Form		Drawing(s)		After Allowance Communication to Group			
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information			
Affidavits/decl			of Attorney, Revocation e of Correspondence Address	☐ Status Letter			
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Request		Request for Refund		1449 citing 17 pieces of art Preliminary Amendment			
Spress Abandoninent Nequest		CD, Number of CD(s)					
Information Disclosure Statement				RECEIVED			
Certified Copy of Priority Document(s)		Rema	rks	JAN 1 6 2004			
Response to Missing Parts/ Incomplete Application				Technology Center 2			
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT			
Firm <i>or</i> Individual name	John P. Schaub, Reg. No. 42,125						
Signature	MI	V					
Date	1/12/04						
			RTIFICATE OF MAILING				
I hereby certify that the Service with sufficient Alexandria, VA 22313	nt postage as first	class mail ir	n an envelope addressed to: C	or deposited with the United States Postal commissioner for Patents, P.O. Box 1450,			
Typed or printed name)/X .	, / /			
		- 17/					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFRT) 14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Eduard K. de Jong et al.

SERIAL NO.:

10/040,293

FILING DATE:

October 29, 2001

TITLE:

PRIVACEY AND IDENTIFICATION IN A DATA

COMMUNICATIONS NETWORK

EXAMINER:

Unassigned

ART UNIT:

2151

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date pripted below:

COMMISSIONER FOR PATENTS P.O. Box 1450, **ALEXANDRIA, VA 22313-1450**

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

Ш

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information statement; or
- (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: January 12, 2004

John P. Schaub

Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 direct dial (408) 287-8040 direct fax JAN 1 5 2004 J

Form PTC (Rev. 2-24)	rm PTO 449 ev. 2-349 U.S. Department of Commerce Patent and Trademark Office					Atty. Docket No. SUN-P7015		Serial No. 10/040,293				
						Applicant: Eduard de Jong et al.						
(Use several sheets if necessary)					Filed: October 29, 2001		Ol Group: 2	Group: 2151				
				U.S. Patent Documen	nts			.,				
Init.		Document No.	Date	Name		Class	Subclass	Filing	Date			
	A	5,706,427	1/6/98	Tabuki								
-	В	5,774,670	6/30/98	Montulli								
	С	5,841,970	11/24/98	Tabuki								
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	F	6,092,196	7/18/00	Reiche					-			
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Init.		Document No.	Date	Country		Class	Subclass	Yes	No			
	L	WO 97/08868	3/6/97	WO								
	M	WO 00/42491	7/20/00	PCT					<u> </u>			
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	N	Woo et al., "Authentication for Distributed Systems", IEEE Computer, pages 39-52, January 1992										
	0	Vipin Samar, "Single Sign-On Using Cookies for Web Applications", IEEE, 199, pp. 163										
	P	Phillip Hallam-Baker, "Security Assertions Markup Language", May 14, 2001, ver. 0.7, pp. 1-24										
	Q	Ming-Chuan Wu et al., "Encoded Bitmap Indexing for Data Warehouse", IEEE, 1998, pp. 220-230										
	R	International Search Report, PCT/CA 02/34709, International filing date October 29, 2002, date Search Report mailed July 10, 2003.										
Examiner Examiner						Date Considered						
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.												
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